

Third-Party Certification Chart

Certification Name	Certifying Agency	Primary Certification Requirements	Primary Organization and/or Business Accepting the Certification	Maximum Size Standard Restriction	Maximum Personal Net Worth Restriction	Certification Regulated By	Application and Renewal Fee
Disadvantaged Business Enterprise (DBE)	Kentucky Transportation Cabinet (KYTC)	51% or more Minority or Woman-*owned, managed & controlled business	Federal Transportation Agencies, State/Local Govt Agencies Receiving Federal Transportation Funds, and Transportation agency prime contractors	**SBA Industry Standards	Less than \$750,000 excluding owner(s) home & business	US Dept of Transportation Federal Highway Administration	\$0
HUBZone	U.S. Small Business Administration (SBA)	51% owned and controlled by US citizens; primary office located in a HUBZone; 35% of employees located in HUBZone	Federal Government agencies and Federal Government prime contractors	**SBA Industry Standards	None	SBA	\$0
8(a)	U.S. Small Business Administration (SBA)	***Socially & Economically Disadvantaged, 51% or more *owned, managed & controlled business	Federal Government agencies and Federal Government prime contractors	**SBA Industry Standards	Less than \$250,000 excluding owner(s) home & business	SBA	\$0
Minority Business Enterprise (MBE)	Tri-State Minority Supplier Development Council (TSMDC)	51% or more Minority-*owned, managed & controlled business	Large Private Sector Companies	None	None	National Minority Supplier Development Council	\$200 App. Fee; \$200 Renewal Fee
Minority Business Enterprise (MBE) and/or Women Business Enterprise (WBE)	The Louisville-Jefferson County Metropolitan Sewer District maintains a listing of MBE/WBE firms that have been certified by approved third-party certifying agencies	51% or more Minority or Woman-*owned, managed & controlled business	Louisville/Jefferson County Metropolitan Sewer District (MSD) and MSD prime contractors	None	None	Approved third-party certifying agencies	Fee varies depending on which third-party certifier is used
Minority Business Enterprise (MBE), Women Business Enterprise (WBE), or Handicapped Business Enterprise (HBE)	Louisville Metro Human Relations Commission	51% or more Minority, Woman or Handicapped-*owned, managed & controlled business	City of Louisville/Jefferson County and prime contractors doing business with the city	None	None	Louisville Metro Human Relations Commission	None
Women Business Enterprise (WBE)	Women Business Enterprise National Council (WBENC)	51% or more Woman-*owned, managed & controlled business	Large Private Sector Companies	None	None	Women's Business Enterprise National Council	\$350 App. Fee; \$350 Renewal Fee
Women Business Enterprise (WBE)	National Women Business Owners Corporation	51% or more Woman-*owned, managed & controlled business	Large Private Sector Companies	None	None	National Women Business Owners Corporation	\$200 Fee if gross sales < \$2,000,000; \$300 Fee if gross sales > \$2,000,000

Self-Certification Chart

Certification Name	Certifying Agency	Primary Self-Certifying Requirement	Maximum Size Standard Restriction	Maximum Personal Net Worth Restriction	Application and Renewal Fee
Small Business	Self-Certified by majority business owner	Majority business owner identifies SBA size standard for his/her industry and makes a self-determination concerning whether business qualifies as small	**SBA Industry Standards	None	None
Small Business with 50 employees or less	Self-Certified by majority business owner	No more than 50 full-time employees in all locations	No more than 50 full-time employees in all locations	None	None
Women-Owned Business/Women's Business Enterprise (WOB/WBE)	Self-Certified by majority business owner	51% or more Woman-*owned, managed & controlled business	**SBA Industry Standards	None	None
Small Disadvantaged Business	Self-Certified by majority business owner	***Socially Disadvantaged, 51% or more *owned, managed & controlled business	**SBA Industry Standards	None	None
Veteran-Owned Small Business	Self-Certified by majority business owner	51% or more Veteran-*owned, managed & controlled business	**SBA Industry Standards	None	None
Service-Disabled Veteran-Owned Small Business	Self-Certified by majority business owner	51% or more Service Disabled Veteran-*owned, managed & controlled business	**SBA Industry Standards	None	None

***Owned, Managed & Controlled**

Owned: 51% or more ownership (stock transactions, capital infusion, personal investment)

Managed: Day-to-day management (principal owner(s) is responsible for making financial decisions, procurement of goods & services, personnel, sales and other administrative & operational tasks.)

Controlled: Principal owner(s) has the credentials and expertise to make decisions affecting the company's core business.

****SBA Industry Standards**

A business entity that does not exceed the applicable size standard for its industry as established under 13 C.F.R 121

In general, the most common size standards for government contracting include:

- 500 employees for most manufacturing and mining industries
- 500 employees for all wholesale trade industries
- \$7 million in average annual receipts for most retail and service industries
- \$14 million in average annual receipts for construction specialty trade contractors
- \$0.75 million average annual receipts for most agricultural industries

Go to www.sba.gov/idc/groups/public/documents/sba_homepage/serv_sstd_tablepdf.pdf for a complete SBA size standard list by industry/NAICS code

*****Socially Disadvantaged**

The SBA presumes the following groups are socially disadvantaged: African American, Asian Pacific Americans, Native Americans, Hispanic Americans, and Subcontinent Asian-Pacific Americans